



REGULATORY EXAM FOR REPRESENTATIVES (RE5)



UNLOCK YOUR CAREER POTENTIAL

OVERVIEW

Make the most of your career as a representative of a financial service provider (FSP)! Let Ucademy assist you in passing the most important exam of your career. Your future depends on developing your knowledge about key areas of the regulatory exam, so that you can pass it – first time!



UCADEMY
LEVELUP

OBJECTIVES

By the end of this programme, you'll be able to:

- Demonstrate understanding of the Financial Advisory and Intermediary Services (FAIS) Act as a regulatory framework.
- Contribute towards maintaining an FSP licence.
- Define the role of the key individual in terms of the FAIS Act.
- Adhere to the specific Codes of Conduct.
- Comply with regulated record keeping requirements.
- Comply with the requirements of the Financial Intelligence Centre (FIC) Act and Money Laundering and Terrorist Financing control regulations, as it applies to the FSP.
- Deal with complaints that have been submitted to the Ombud for FSPs.
- Operate as a representative in terms of the FAIS Act.



DURATION

4 days



TARGET AUDIENCE

All representatives in the financial industry



BATCH SIZE

20



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BENEFITS

The Ucademy advantage

Our competitive advantage lies in our ability to deliver leading specific and business-led programmes that are customised, contextualised and blended in order to meet the performance needs of our clients.

- Learners have access to subject matter experts
- Learners have access to Ucademy in-house specialists, mentors and coaches.
- Learners have access to global best-practice thinking and global market-readiness learnings.
- Ucademy programmes may lead to placement opportunities for top talent and marginalised groups.
- Ucademy offers academic rigour and support to meet completion requirements.

CONTENT SPLIT

- Facilitator-led session: 70%
- Online learning: 20%
- Peer learning: 10%

RECOMMENDED LEARNING RESOURCES

N/A